



This website (the “Site”) is owned and operated by Autumn Lane Advisors, LLC (“Autumn Lane Advisors,” “we,” “our” or “us”). This Privacy Policy (“Privacy Policy”) is applicable to you (“User,” “you,” or “your”) and was developed to inform You about how we collect data through our Services, how we use the collected data, and users’ rights with respect to the collected data. The terms contained herein apply to all Users of our Site.

Our Site is hosted and operated in the United States. If you use our Site from outside the United States, please be aware that the information you provide to us is transmitted to, processed, and stored in the United States.

What Does Autumn Lane Advisors Do With With Your Information?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number and driver’s license number
- Account balances and income
- Credit and payment history

When you are no longer our client, we continue to share your information as described in this notice.

All financial companies need to share client’s personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client’s personal information; the reasons Autumn Lane Advisors chooses to share; and whether you can limit this sharing.

Reasons We Can Share Your Personal Information

For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or to report to credit bureaus.

1. Does Autumn Lane Advisors, LLC Share This Information? **YES**
2. Can you Limit This Sharing? **NO**

For our marketing purposes – to offer our products and services to you.

1. Does Autumn Lane Advisors, LLC Share This Information? **NO**
2. Can you Limit This Sharing? **N/A**

For joint marketing with other financial companies

1. Does Autumn Lane Advisors, LLC Share This Information? **NO**
2. Can you Limit This Sharing? **N/A**

For our affiliates’ everyday business purposes – Information about your transactions and experiences

1. Does Autumn Lane Advisors, LLC Share This Information? **NO**
2. Can you Limit This Sharing? **N/A**

For our affiliates to market to you

1. Does Autumn Lane Advisors, LLC Share This Information? NO
2. Can you Limit This Sharing? N/A

For nonaffiliates to market to you

1. Does Autumn Lane Advisors, LLC Share This Information? NO
2. Can you Limit This Sharing? N/A

Who Is Providing This Notice?

Autumn lane Advisors

How Does Autumn Lane Advisors Protect My Personal Information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How Does Autumn Lane Advisors Collect My Personal Information?

We collect your personal information, for example, when you:

- Open or close an account
- Authorize a trade or authorize a direct fee-deduction
- Authorize to raise cash

We may also collect your personal information from other companies.

Why Can't I Limit All Sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates – Companies related by common ownership or control. They can be financial and nonfinancial companies. *Autumn Lane Advisors, LLC has no affiliates.*

Nonaffiliates – Companies not related by common ownership or control. They can be financial and nonfinancial companies. *These include broker/dealers, mutual fund companies, insurance companies and other financial institutions.*

Joint marketing – A formal agreement between nonaffiliated financial companies that together market financial products or services to you. *Autumn Lane Advisors, LLC does not participate in joint marketing.*

How To Contact Us

Because protecting your privacy is important to us, you may always submit concerns regarding our Privacy Policy on the contact us page. We will attempt to respond to all reasonable concerns and inquiries expeditiously.

If you have any questions or comments about our Privacy Policy, please contact us at:

By Email:

steve.oldham@autumnlanelc.com

By Regular Mail:

Autumn Lane Advisors

Attn: Privacy Agent

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Houston, TX 77063