

FACTS	WHAT DOES AUTUMN LANE ADVISORS DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security number, tax identification number, and employee identification number</li> <li>• Account balances, income, and total net worth</li> </ul>
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons we choose to share; and whether you can limit this sharing.

Reasons we can share your personal information	Do we share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	N/A
<b>For joint marketing with other financial companies</b>	No	N/A
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	No	N/A
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	N/A
<b>For our affiliates to market to you</b>	N/A	N/A
<b>For non-affiliates to market to you</b>	No	N/A

<p><b>Questions?</b></p>	<p>Call or contact:  Autumn Lane Advisors  7500 San Felipe, Suite 1030  Houston, TX 77063  (713) 636-2075  <a href="mailto:steve.oldham@autumnlane.com">steve.oldham@autumnlane.com</a></p>
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<b>Who is providing this notice?</b>	Autumn Lane Advisors
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<b>What we do</b>	
How does Autumn Lane Advisors protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include physical, electronic, and procedural safeguards and secured files and buildings.
How does Autumn Lane Advisors collect my personal information?	We collect your personal information, for example, when you

	<p>Complete an application to open or close an account, provide authorization for trading authority and fee deductions, or submit disbursement instructions</p> <p>Have transactions in your brokerage account</p> <p>We may also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes – information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
<b>What happens when you limit sharing for an account you hold jointly?</b>	Your choices will apply to everyone on your account—unless you tell us otherwise.

Definitions	
<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and non-financial companies.</p> <p><i>Autumn Lane Advisors has no affiliates.</i></p>
<b>Non-affiliates</b>	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <p><i>These include broker/dealers, mutual fund companies, insurance companies and other financial institutions.</i></p>
<b>Joint marketing</b>	<p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <p><i>Autumn Lane Advisors does not do joint marketing.</i></p>